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AIM

ADVISERS RANKINGS GUIDE Q3 2019

HIGHLIGHTS FOR:

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Adviser Rankings Ltd, a leading publisher of independent financial data, today published its Q3 2019 quarterly *AIM Advisers Rankings Guide* in association with [Link Asset Services](#), the largest UK share registrar.

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Adviser Rankings provides client rankings of professional services firms – Auditors, Financial Public Relations (PR) companies, Law Firms, Nominated Advisers, Registrars and Stockbrokers. They are ranked by their number and market-cap of their clients by Total Number of AIM clients, FTSE AIM 100 and FTSE AIM UK 50. They are also ranked according to their number of clients in the eight main FTSE Sectors.

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All published rankings and statistics are compiled by Adviser Rankings Ltd from their own database, and the cut-off date for the current issue was 05 July 2019.

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HIGHLIGHTS OF THIS QUARTER'S AIM ADVISERS RANKINGS GUIDE:

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Auditors

- **PwC** takes third place by the total number of AIM clients
- **RSM** and **PwC** add the most net new clients
- **Deloitte** has taken a share of the silver medal in the **Oil & Gas** sector

Financial PRs

- **Alma PR** moves up to third place by the total number of AIM clients
- **Blytheweigh** supplants **St Brides Partners** at the head of **Basic Materials**
- **Instinctif Partners** makes gains in the FTSE AIM 100 and AIM 50 rankings

Law Firms

- **CMS** have taken the lead in the AIM 100 rankings
- **Pinsents Masons** has taken an outright lead in the **Oil & Gas** rankings
- **Carey Olsen** moves up the overall rankings

Nominated Advisers

- **N+1 Singer** has replaced **Allenby Capital** in third place in the rankings
- **Strand Hanson** has elevated into joint second place in **Basic Materials**
- **finnCap** now holds the outright lead in the **Health Care** sector

Registrars

- **Link Asset Services** increases its FTSE AIM 50 lead
- **Equiniti** makes gains in the **Health Care** and **Financials** sectors
- **Computershare Investor Services** produced the only notable gain in the AIM 100

Stockbrokers

- **Shore Capital Stockbrokers** rises across many rankings tables
- **SP Angel** adds a net seven new clients
- **N+1 Singer** is joint second by overall client numbers in Total AIM

For the full guide to the rankings, including the Market Cap tables, please see attached PDF.

TOTAL AIM

- BDO has extended its overall lead by client numbers.
- PwC has nudged up into third place on the table by total constituent numbers.
- RSM has moved alongside **Deloitte** in a share of fifth place.

FTSE AIM 100

- **KPMG** and **PwC** still share the lead.
- **Deloitte** makes up the podium places, taking third on a standalone basis.
- BDO and **Grant Thornton** slipped down the index rankings.

FTSE AIM UK 50

- PwC still leads the way, and the placings were unchanged.
- **Deloitte**, in second place, narrowed the gap following its appointment by First Derivatives.
- **KPMG** makes up the leading trio of auditors ahead of **BDO**.

SECTORS

- **KPMG** is up into a share of third place in **Basic Materials** alongside **Chapman Davis**.
- **EY** moved up the standings in **Consumer Goods**, **BDO** is out ahead of the field and, **Grant Thornton** makes up the podium places.
- **PwC** has moved into second in **Consumer Services** on the back of a new mandate from Loungers PLC.
- **BDO**, **KPMG** and **Deloitte** hold firm from the previous quarter in the **Financials** table.
- **Grant Thornton** has replaced **KPMG** in second place in the **Health Care** rankings.
- **BDO** leads the way in the broad **Industrials** category and, **RSM** remains in fifth place despite a productive quarter which saw new mandates from Maintel Holdings, TP Group and Synectics.
- **Deloitte** has taken a share of the silver medal in the **Oil & Gas** sector, joined by **PwC** after its appointment by Rockhopper Exploration.
- **RSM** is up into a share of third place with **KPMG** in the **Technology** sector.

TOTAL AIM

- **Alma PR, Yellow Jersey PR and Buchanan** headed up the rankings after a roll-back in numbers for **Newgate Communications**.
- **FTI Consulting** remains the leading financial PR firm by client numbers and market-cap.

FTSE AIM 100

- **Instinctif Partners** moved up three places into a share of third place with **Alma PR** and **MHP Communications**.
- **Brunswick Group** headed up the mid-table rankings on the back of a new mandate from Bushveld Minerals.

FTSE AIM UK 50

- **Buchanan** leads the way by index numbers.
- **FTI Consulting** lead by aggregate client market-cap.
- **Instinctif Partners** makes it onto the podium places following its appointment by Smart Metering Systems.

SECTORS

- **Blytheweigh** has supplanted **St Brides Partners** at the head of the **Basic Materials** sector.
- **Buchanan** now shares the lead in **Consumer Goods**, alongside **Alma PR**.
- **Newgate Communications** now holds the lead in the **Consumer Services** sector on a standalone basis and, **Instinctif Partners** takes outright fifth place.
- **Camarco** has taken the lead in the **Financials** sector and, **Buchanan** is up into joint second place after **Newgate Communications** shed two clients through the quarter.
- **Optimum Strategic Communications** moved up several places in the **Health Care** rankings following appointments by Verona Pharma and IXICO.
- **Instinctif Partners** finds itself in a share of second place in the **Industrials** sector on the back of a net brace which included Smart Metering Systems.
- **Vigo Communications** moved into a share of the lead with **Camarco** in the **Oil & Gas** sector.
- **FTI Consulting** has taken second place in the **Technology** sector.

TOTAL AIM

- **Pinsent Masons** have stretched their advantage at the head of the AIM rankings.
- **CMS** and **Fieldfisher** added to their client counts but remained locked in a share of third place.
- **CMS** also moved into second place by aggregate market-cap.

FTSE AIM 100

- **CMS** has taken top billing at the expense of **Gowling WLG**, the latter dropped into joint second place alongside **Pinsent Masons**.
- **Stephenson Harwood**, two new mandates, including Oakley Capital Investments, saw it rise seven places into equal fourth on the table.
- **Bryan Cave Leighton Paisner** also made significant headway and debuted at the foot of the table.

FTSE AIM UK 50

- **CMS**, **DWF** and **Pinsent Masons** are in a three-way tie at the top of the rankings.
- **CMS** has taken the lead by aggregate client market-cap from **Gowling WLG**, now in third place.
- **Stephenson Harwood** doubled its client count in the top-tier rankings but remained at the foot of the table.

SECTORS

- **Gowling WLG** has extended a significant advantage over the field in **Basic Materials**.
- **Addleshaw Goddard**, **DLA Piper** and **Osborne Clarke** lead the way in the **Consumer Goods** sector.
- **Carey Olsen** moved up seven places in the **Consumer Services** rankings following its appointment by Woodbois Ltd.
- **Stephenson Harwood** is up into fourth place in the **Financials** sector following its appointment by Oakley Capital Investments.
- **Dentons UK and Middle East** is up five places into a share of sixth place in the **Financials** after it was brought in to provide legal services for Sigma Capital Group.
- **Pinsent Masons** have pulled alongside **Covington & Burling** for a share of the lead in the **Health Care** rankings.
- **Pinsent Masons** and **DWF** are into a share of third place with **DLA Piper**, **Dentons UK and Middle East** and **Squire Patton Boggs** in the **Industrials** sector.
- **Pinsent Masons** have taken pole position in the **Oil & Gas** rankings at the expense of **Watson Farley & Williams**.
- **Mills & Reeve** and **Osborne Clarke** were heading up the mid-table rankings for the **Technology** sector after respective appointments from Brady and essensys.

TOTAL AIM

- **N+1 Singer** has replaced **Allenby Capital** in third place after netting two mandates.
- **Shore Capital & Corporate** moved up ten places into a share of eighth place with **SP Angel**.

FTSE AIM 100

- **Numis Securities, Peel Hunt** and **Investec Investment Banking**, with minor additions, provided limited movement in the top half of the table.
- **Grant Thornton** was making headway in the lower half of the table following its appointment by Arbuthnot Banking Group.
- **Cantor Fitzgerald Europe** was on the up as the result of a new advisory arrangement with AB Dynamics.

FTSE AIM UK 50

- **Peel Hunt**, with a solitary client win, moved into a share of second place with **Investec Investment Banking**.
- **Numis Securities** retains the advantage by client numbers and their representative market-cap.

SECTORS

- **Strand Hanson** moved into a share of second place in **Basic Materials**, alongside **Beaumont Cornish**, following its appointment by Metals Exploration.
- **SPARK Advisory Partners** and **Shore Capital & Corporate** now share of third place **Consumer Goods**.
- **Shore Capital & Corporate**'s client base expansion was the most conspicuous development in the **Consumer Services** sector.
- **Numis Securities** is into joint fifth place in the **Financials** sector with **Panmure Gordon** after it secured advisory duties for Argentex Group.
- **finnCap** now holds the outright lead in the **Health Care** sector through the addition of Destiny Pharma.
- **Shore Capital & Corporate** moved up from 12th to third place in the **Industrials** sector after its constituent numbers more than doubled.
- **Strand Hanson** still leads the way in the **Oil & Gas** sector, though its advantage over **Centos Securities** was cut when the latter was brought in to act for Coro Energy.
- **finnCap** has extended its lead in the **Technology** sector by the number of constituents, having got the nod from Access Intelligence during the period.

TOTAL AIM

- **Equiniti** made the most noteworthy gains through the period, with running order at the top of the registrar rankings unchanged.
- All the leading registrars saw the aggregate value of their client rosters expand during the period.

FTSE AIM 100

- **Computershare Investor Services** pulled in two new constituents, slightly narrowing the gap on index frontrunner **Link Asset Services**.

FTSE AIM UK 50

- **Link Asset Services** was the only registrar to register any gains, with three times as many top-tier constituents as its nearest rival **Computershare Investor Services**, and over three times the client-market cap than second-placed **Equiniti**.

SECTORS

- **Share Registrars Limited** increased its client count in the **Basic Materials** sector through the addition of Sosandar PLC.
- **Computershare Investor Services** now occupies second place on a standalone basis in **Consumer Services** after **Neville Registrars** dropped down the rankings after shedding a constituent.
- **Equiniti** has taken joint third place in the **Financials** rankings with **Share Registrars**, after adding Distribution Finance Capital Holdings to its client roster.
- **Equiniti** with two new additions, including Deltex Medical Group, moved into second place behind **Link Asset Services** in the **Health Care** rankings.
- **Link Asset Services** built on its lead in the **Industrials** sector, adding to its overall sector numbers through the quarter.
- **Neville Registrars** nearly trebled the market-cap of its clients and moved up to fourth place in the **Oil & Gas** sector.
- **Equiniti** provided what activity there was in the **Technology** rankings, with the addition of essensys to its client roster.

TOTAL AIM

- **Shore Capital Stockbrokers** has been elevated from 12th place into fourth on the table, with the underlying constituent count increasing from 41 to 69.
- **Cenkos Securities** and **N+1 Singer**, now share second place after the latter adviser added a net brace through the quarter.
- **SP Angel** held firm in seventh place following a standout performance, adding seven new clients to its name.

FTSE AIM 100

- **Numis Securities**, **Peel Hunt** and **Investec Securities**, unchanged as leading stockbrokers, all expanded their index constituent numbers.

FTSE AIM UK 50

- **Numis Securities** substantially increased its advantage over the field by representative market-cap.
- **Jefferies Hoare Govett** moved up to a share of eighth place in the table following its appointment by Serica Energy.

SECTORS

- **SVS Securities** has moved into second place in the **Basic Materials** sector after being handed stockbroking duties for Alba Mineral Resources, Alien Metals Ltd, KEFI Minerals and Tertiary Minerals.
- **Shore Capital Stockbrokers**, **Peterhouse Corporate Finance** and **Canaccord Genuity**, saw a productive quarter in the **Consumer Goods** rankings.
- **Canaccord Genuity** and **N+1 Singer** have drawn level with **Allenby Capital** at the head of the **Consumer Services** rankings.
- **Liberum Capital** held a slight advantage over **Numis Securities** in the **Financials** rankings as the brokers received respective mandates for Park Group and Argentex Group.
- **Numis Securities** moved up into the silver medal position in the **Health Care** sector on the back of new mandates from MaxCyte Inc and Abcam. They also took first place in the client market-cap rankings.
- **finnCap** has taken a share of first place in the **Industrials** sector, alongside **WH Ireland**, following its appointment by LPA Group.
- **Shore Capital Stockbrokers** has taken pole position in the **Oil & Gas** rankings with the addition of **Stockdale's** clients.
- **finnCap** still dominates in the **Technology** sector by constituent numbers, as the aggregate value of **Investec Securities'** clients puts it into the lead on that basis.



As part of Link Group, [Link Asset Services](#) helps connect people and their assets. We work with more than 2,500 clients, including asset managers and investors, business managers, asset owners, issuers and borrowers. We help capital flow through financial markets by processing £60bn in payments each year, and protecting more than £200bn in funds.

Corporate Markets, a business line of Link Group, offers share registration, share plan administration, investor relations, share investment, company secretarial support and treasury. We're the UK's largest share registrar – to more than 1,200 companies, including a quarter of the FTSE 100 and half of the FTSE AIM 100.

Notes to Editors

The *AIM Advisers Rankings Guide* is published quarterly. The 3rd quarter update summarised here is for the three months ending 05 July 2019. For rankings scores in relation to the tables, a single point is awarded to reflect a confirmed client relationship, regardless of whether it is a sole or joint appointment.